

05-09-05

IFW #

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Alexandria, VA 22313-1450.
Name: Chris Vo
5/6/05
Date
Chris Vo
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gunn III, et al
Serial No.: 10/606,297
Filed: 6/24/2003
For: CMOS Process Silicon Waveguides

Attorney Docket No. LUX-P005
Examiner: Christopher M. Kalivoda
Art Unit: 2883

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL LETTER

Dear Sir:

1. **TRANSMITTED DOCUMENTS:** the following documents relating to the above-identified patent application are being transmitted herewith.

- ☒ a. An Amendment for this application: 4 pages;
☒ b. Substituted Formal Drawings: 26 sheets;
☐ c. A Petition For Extension of Time For Response under 37 CFR 1.136(a) incorporated herein;
☐ d. An Information Disclosure Statement under 37 CFR 1.97(b) ☒ 1.97(c);
☒ e. A Request for Change of Inventorship under 37 CFR 1.48(b);
☒ f. Executed Combined Declaration and Power of Attorney for Patent Application;
☒ g. An Executed Assignment of the Application;
☒ h. Form PTO-1595 (Recordation Cover Sheet for Assignment);
☒ i. A stamped, self-addressed, return postcard;
☒ j. A Check (# 1293) for \$ 170.00 to cover:
Change of Inventorship \$130.00
Assignment Recordation \$40.00

2. **APPLICANT FILING STATUS:**

- ☐ a. Applicant is a Large Entity.
☒ b. Applicant is a Small Entity.

3. **EXTENSION OF TIME:**

- ☐ a. Applicant petitions for an extension of time under 37 C.F. R. 1.136 for the total number of months checked below (fees pursuant to 37 C.F.R. 1.17(a)-(d).

Extension of Time	Large Entity Fee	Small Entity Fee
i. One (1) month .	<u> </u> \$ 120.00	<u> </u> \$ 60.00
ii. Two (2) month .	<u> </u> \$ 450.00	<u> </u> \$ 225.00
iii. Three (3) month .	<u> </u> \$1,020.00	<u> </u> \$ 510.00
iv. Four (4) month .	<u> </u> \$ 1,590.00	<u> </u> \$ 795.00
v. Five (5) month .	<u> </u> \$ 2,160.00	<u> </u> \$ 1080.00

Extension Time Fee Total: .00

- ☒ b. Applicants believe that no extension of time is required. However, this conditional petition is being made in case Applicants have inadvertently overlooked the need for a petition for extension of time, the Commissioner

is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

4. FEE CALCULATION:

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total
a. Total Claims	20	- 23 =	0	x \$ 50.00 Large Entity x \$ 25.00 Small Entity	\$
b. Independent Claims	2	- 5 =	0	x \$200.00 Large Entity x \$100.00 Small Entity	\$
c. Multiple Dependent Claims Added By This Amendment				x 360.00 Large Entity x 180.00 Small Entity	
d. Extension of Time Fee Total, if any, from above EXTENSION OF TIME section 3a.					\$.00
e. Additional Fees Required With This Correspondence i) 1.17 (p) Fee for Information Disclosure under 1.97(c)					\$.00
e. Total Fees					\$.00

5. PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

_____ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

X A Check # _____ for \$ 350.00 for the above specified Total Fee is enclosed. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

X Applicants do not believe that any payment of fee is needed in association with this communication. However, should Applicants inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

Please direct all correspondence concerning the above-identified application to the following address:

CUSTOMER NO: 22877

FERNANDEZ & ASSOCIATES, LLP

Patent Attorneys

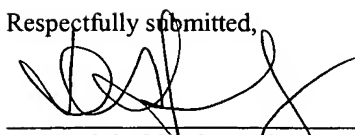
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Menlo Park, CA 94025-6204

Phone: (650) 325-4999

Fax: (650) 325-1203

Respectfully submitted,

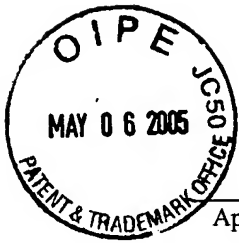

DENNIS S. FERNANDEZ

Registration No. 34,160

5/6/05

Date

The PTO did not receive the following listed item(s) Check \$350.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Gunn III, et al.**

Group Art Unit: **2883**

Application No.: **10/606,297**

Examiner: **Christopher Kalivoda**

Filed: **6/24/2003**

Attorney Docket No.: **LUX-P005**

Title: **CMOS Process Silicon Waveguides**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DRAWING TRANSMITTAL LETTER

Sir:


Enclosed herewith please find:

- () _____ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated _____
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawing(s) Objection (PTO-948) and approved in the Notice of Allowability dated _____
- (X) 26 sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- (X) 1 A self-addressed stamped return postcard.

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Date: 5/6/05

Respectfully Submitted,



By _____

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